

Form PTO-1390  
(Rev. 12-29-99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NO.

H 3853 PCT/US

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known) 37 CFR 1.53

097 868566

INTERNATIONAL APPLICATION NO.  
PCT/EP99/09732

INTERNATIONAL FILING DATE  
December 10, 1999

PRIORITY DATE CLAIMED  
December 19, 1998

TITLE OF INVENTION

SHOCK-RESISTANT EPOXIDE RESIN COMPOSITIONS

APPLICANT(S) FOR DO/EO/US  
Hubert Schenkel

Applicant herewith submits to the United States Designated/Elected Office (EO/DO/US) the following items and other information

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
  3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
  4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☒ has been transmitted by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). UNEXECUTED
  10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A FIRST preliminary amendment
    - a. ☐ A SECOND or SUBSEQUENT preliminary amendment.
  14. ☐ A substitute specification.
  15. ☐ A change of power of attorney and/or address letter.
  16. ☐ Other items or information.  
Information Disclosure Citation (with references)

"Express Mail" mailing label number EL615775834US

U.S. Application No. (If known, see 37 CFR 1.5)

09/868566

INTERNATIONAL APPLICATION NO.  
PCT/EP99/09732

ATTORNEY'S DOCKET NUMBER  
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## 17. The following fees are submitted:

## BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO.....\$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO.....\$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....\$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00

## ENTER APPROPRIATE BASIC FEE AMOUNT

\$ 860

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	16 - 20 =	0	0 X \$18.00	\$ 0	
Independent Claims	1 - 3 =	0	0 X \$80.00	\$ 0	
Multiple dependent claims (s) (if applicable)	0		+ \$270.00	\$ 0	

## TOTAL OF ABOVE CALCULATIONS

\$ 860

Reduction of 1/4 for filing by small entity, if applicable. A Small Entity Statement must  
also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$ 0

## SUBTOTAL

\$ 860

Processing fee of \$130.00 for furnishing the English translation later the ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0

## TOTAL NATIONAL FEE

\$ 860

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$ 0

## TOTAL FEES ENCLOSED

\$ 860

Amount to be  
refunded:

charged: \$ 860.00

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 01-1250 in the amount of \$860.00 to cover the above fees.

A triplicate copy of this sheet is enclosed. Order No. 01-0469

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 01-1250. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b))  
must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Henkel Corporation, Law Dept.  
2500 Renaissance Blvd., Suite 200  
Gulph Mills, PA 19406

SIGNATURE:

Daniel S. Ortiz

NAME ATTORNEY FOR APPLICANT

25,123

REGISTRATION NUMBER

09/868566  
JC18 Rec'd PCT/PTO 19 JUN 2001

"Express Mail" mailing label number EL615775834US

PATENT  
Docket No. H 3853 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE: PCT/EP99/09732  
International Filing Date: December 10, 1999  
Priority Date Claimed: December 19, 1998  
Applicant: Schenkel, Hubert  
Title: SHOCK-RESISTANT EPOXIDE RESIN COMPOSITIONS  
Applicants' Reference: H 3853 PCT/US

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Box PCT  
Washington, DC 20231

ATTN: DO/EO/US

Sir:

Please enter the following amendments in the application after the application has been accorded a Serial Number and before the filing fee is calculated.

IN THE SPECIFICATION

On page 1, delete the title and enter --IMPACT-RESISTANT EPOXIDE RESIN COMPOSITIONS--.

On page 1 of the English translation, on a separate line between the title and line 1, please insert the following header and paragraph on consecutive lines as shown below:

--CROSS REFERENCE TO RELATED APPLICATIONS

This application is a national stage application under 35 U.S.C. § 371 of international application PCT/EP99/09732 filed on December 10, 1999, the international

0968566.110701

application not being published in English. This application also claims priority under 35 U.S.C. § 119 to DE 198 58 921.2, filed on December 19, 1998.--

On page 1, on a separate line immediately after the above inserted paragraph and before line 1, please insert the following header:

--FIELD OF THE INVENTION--.

On page 1, on a separate line between lines 7 and 8, please insert the following header:

--BACKGROUND OF THE INVENTION--.

On page 5, on a separate line between lines 24 and 25, please insert the following header:

--BRIEF DESCRIPTION OF THE INVENTION--.

On page 6, between lines 3 and 4, please insert the following header:

--DETAILED DESCRIPTION OF THE INVENTION--.

On page 9, please replace the text beginning at line 1 and ending at line 12 with the following new text:

mixtures thereof with oligomers of relatively high functionality (so-called crude MDI). Examples of suitable cycloaliphatic polyisocyanates are the hydrogenation products of the above-mentioned aromatic diisocyanates such as, for example, 4,4'-dicyclohexylmethane diisocyanate ( $H_{12}$ MDI), 1-isocyanatomethyl-3-isocyanato-1,5,5-trimethyl cyclohexane (isophorone diisocyanate, IPDI), cyclohexane-1,4-diisocyanate, hydrogenated xylene diisocyanate ( $H_e$ XDI), m- or p-tetramethyl xylene diisocyanate (m-TMXDI, p-TMXDI) and dimer fatty acid diisocyanate. Examples of aliphatic polyisocyanates are hexane-1,6-diisocyanate (HDI), 1,6-diisocyanato-2,2,4-trimethyl

hexane, butane-1,4-diisocyanate and 1,12-dodecane diisocyanate ( $C_{12}DI$ ). The aliphatic, cycloaliphatic or even araliphatic diisocyanates are particularly preferred.

On page 15, please replace the text beginning at line 1 and ending at line 29 with the following new text:

adhesives are expected to meet in vehicle construction continue to increase because more and more structural elements - including those with load-bearing functions - are being joined by bonding processes. As already stated in the article by G. Lötting and S. Singh entitled: "Anforderungen an Klebstoffe für Strukturverbindungen im Karosseriebau" Adhesion 1988, No. 9, pages 19 to 26, the adhesives are expected on the one hand to fulfill production aspects of practical relevance, including automatable application in short cycle times, adhesion to oil-covered metal panels, adhesion to various types of metal panels and compatibility with the process conditions on the paint line (resistance to washing and phosphating baths, curability during stoving of the CED primer, resistance to the following painting and drying operations). In addition, modern structural adhesives have to exhibit improving strength and deformation properties, even in the cured state. These include the high corrosion resistance and flexural strength of the structural components and the deformability of the bond under mechanical stress. High deformability of the structural components guarantees a considerable safety advantage in the event of a crash. This crash behavior can best be determined by determining the impact energy for cured bonds; sufficiently high values for impact energy or impact/peel energy are desirable both at high temperatures of up to +90°C and in particular at low temperatures down to -40°C. High tensile shear strength should also be achieved. Both strengths should be achieved on a large number of substrates, mainly oil-covered metal panels, for example steel bodywork panels, steel plate galvanized by various methods, panels of various aluminium alloys or even magnesium alloys and steel plates coated by coil coating with organic coatings of the "Bonazinc" or "Granocoat" type. As shown in the following

Examples, the adhesive compositions according to the invention surprisingly satisfy these requirements to a very high degree.

IN THE CLAIMS

On page 20, line 1, please replace the heading "CLAIMS" with the following heading:

-- We claim: --

Please cancel claims 1-14 without prejudice.

Please enter new claims 15-30 as follows.

15. A structural adhesive with good low temperature impact strength which comprises:

- A) a copolymer having at least one glass transition temperature of -30°C or lower and epoxy-reactive groups or a reaction product of this copolymer with a polyepoxide;
- B) a reaction product of a polyurethane prepolymer and a polyphenol or aminophenol; and
- C) at least one epoxy resin.

16. The composition claimed in claim 15, wherein component A) comprises a butadiene-based copolymer.

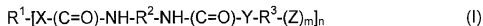
17. The composition claimed in claim 16, wherein the copolymer of component A) comprises a carboxyl-containing copolymer based on at least one member selected from the group consisting of butadiene/acrylonitrile, butadiene/(meth)acrylate copolymer, butadiene/acrylonitrile/styrene copolymer and butadiene/(meth)acrylate/styrene copolymer.

18. The composition claimed in claim 15, wherein the copolymer of component A) comprises a core/shell polymer of which the core polymer is a diene polymer or a (meth)acrylate polymer with a glass transition temperature of -30°C or lower and

which may optionally be crosslinked with 0.01 to 5% by weight of a diolefinic comonomer and of which the shell polymer has a glass transition temperature of 60°C or higher and contains residues of at least one monomer selected from the group consisting of alkyl (meth)acrylate, (meth)acrylonitrile, (methyl) styrene, olefinically unsaturated carboxylic acids, olefinically unsaturated carboxylic anhydrides and mixtures thereof.

19. The composition claimed in claim 15 wherein component A comprises an adduct of an epoxy resin and a butadiene based copolymer.

20. The composition claimed in claim 15 wherein component B) comprises a compound of the formula:



in which

m = 1 or 2,

n = 2 or 3,

R<sup>1</sup> is a residue of a polyalkylene glycol after removal of the functional groups (hydroxyl or amino groups),

R<sup>2</sup> = C<sub>6-14</sub> alkyl, aryl, aralkyl (residue of a diisocyanate after removal of the isocyanate groups),

X, Y = -O-, -S- or -NR<sup>4</sup>-, where R<sup>4</sup> = H or C<sub>1-4</sub> alkyl or phenyl,

R<sup>3</sup> is a carbocyclic-aromatic or araliphatic m+1-functional residue with groups Z directly attached to the aromatic ring and Z = -OH or -NHR<sup>4</sup> (residue of a polyphenol or aminophenol after removal of the functional groups).

21. The composition claimed in claim 15, wherein component B) is dissolved in a liquid polyepoxide.

22. The composition claimed in claim 15, wherein component B) is reacted with a stoichiometric excess of a polyepoxide.

23. The composition claimed in claim 15 further comprising
- D) a latent hardener selected from the group consisting of dicyanodiamide, guanamines, guanidines, aminoguanidines, solid aromatic diamines and mixtures thereof and optionally a hardening accelerator; and
- E) optionally plasticizers, reactive diluents, rheology aids, fillers, wetting agents, antiagers and stabilizers.
24. A cured composition of claim 15 having an impact peel energy of at least 5 J at -20°C (to ISO 11343).
25. The production of composite materials, potting compounds in the electrical and electronics industries and die-attach adhesive for the production of circuit boards in the electronics industry wherein the adhesive comprises the composition of claim 24.
26. The composition of claim 15 further comprising:
- D) a latent hardener selected from the group consisting of dicyanodiamide, guanamines, guanidines, aminoguanidines, solid aromatic diamines and mixtures thereof and optionally a hardening accelerator;
- E) optionally plasticizers, reactive diluents, rheology aids, fillers, wetting agents, antiagers and stabilizers;
- F) a polyester polyol with a molecular weight of 400 to 5,000; and
- G) optionally a thermoplastic polymer powder.
27. A process for hardening a composition of claim 26 which comprises heating the composition to a temperature of 80°C to 210°C.
28. A process for bonding metallic and/or composite materials comprising:
- applying the adhesive composition claimed in claim 26 to at least one of the substrate surfaces to be joined, optionally after cleaning and/or surface treatment
  - fitting the parts to be joined together
  - optionally pregelling the adhesive composition and
  - curing the bond by heating the parts to a temperature of from 80°C to 210°C.
29. The process of claim 28 wherein the bond is cured at a temperature of from



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Docket No. H 3853 PCT/US

120°C to 180°C.

30. The process of claim 27 wherein the composition is heated at a temperature of from 120°C to 180°C.

IN THE ABSTRACT

Please enter the ABSTRACT submitted herewith on a separate sheet of paper numbered 23.

0965566.10701

DISCUSSION

Applicants request that the amendments be entered in the specification. The amendments to the specification are of an editorial nature to enter headings suggested by the United States Patent and Trademark office and to correct obvious errors which occurred during preparation of the application. No new matter has been entered by way of amendment to the specification.

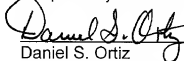
An annex entitled "Version With Markings To Show Changes Made" is provided showing the amendments made to the specification.

New claims have been entered in the application. The new claims are equivalent to the original claims with multiple dependencies and diminishing preferred ranges deleted.

Applicants respectfully submit that the claims are fully supported in the specification and claims as originally filed. No new matter has been entered by the new claims.

Favorable consideration of the application is respectfully requested. If any additional fees are due for entry and consideration of this amendment, please charge Deposit Account No. 01-1250.

Respectfully submitted,

  
Daniel S. Ortiz  
(Reg. No. 25,123)  
Attorney for Applicant  
(610) 278-4934

Henkel Corporation Patent Law Dept  
2500 Renaissance Blvd., Ste 200  
Gulph Mills, PA 19406  
DSO/bj

Attachment: Abstract (page 23)

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ABSTRACT

A high strength adhesive having a good impact strength at low temperatures, below 0°C, and at temperatures of 90°C is provided. The adhesive comprises a copolymer having at least one glass transition temperature of -30°C or lower having epoxy reactive end groups or a reaction product of the copolymer with a polyepoxide, a reaction product of a polyurethane prepolymer and a polyphenol or polyaminophenol and at least one epoxy resin.

Version With Markings To Show Changes Made

On page 1, the title has been amended as follows:

[SHOCK-RESISTANT EPOXIDE RESIN COMPOSITIONS] IMPACT-RESISTANT  
EPOXIDE RESIN COMPOSITIONS.

On page 9, lines 1 through 12 have been amended as follows:

mixtures thereof with oligomers of relatively high functionality (so-called crude MDI). Examples of suitable cycloaliphatic polyisocyanates are the hydrogenation products of the above-mentioned aromatic diisocyanates such as, for example, 4,4'-dicyclohexylmethane diisocyanate ( $H_{12}$ MDI), 1-isocyanatomethyl-3-isocyanato-1,5,5-trimethyl cyclohexane (isophorone diisocyanate, IPDI), cyclohexane-1,4-diisocyanate, hydrogenated xylene diisocyanate ( $H_6$ XDI), m- or p-tetramethyl xylene diisocyanate (m-TMXDI, p-TMXDI) and dimer fatty acid diisocyanate. Examples of aliphatic polyisocyanates are hexane-1,6-diisocyanate (HDI), 1,6-diisocyanato-2,2,4-trimethyl hexane, [1,6-diisocyanato-2,4,4-trimethyl hexane] butane-1,4-diisocyanate and 1,12-dodecane diisocyanate ( $C_{12}$ DI). The aliphatic, cycloaliphatic or even araliphatic diisocyanates are particularly preferred.

On page 15, lines 1 through 29 have been amended as follows:

adhesives are expected to meet in vehicle construction continue to increase because more and more structural elements - including those with load-bearing functions - are being joined by bonding processes. As already stated in the article by G. Lötting and S. Singh entitled: "Anforderungen an Klebstoffe für Strukturverbindungen im Karosseriebau" Adhesion 1988, No. 9, pages 19 to 26, the adhesives are expected on

the one hand to [fulfil] fulfill production aspects of practical relevance, including automatable application in short cycle times, adhesion to oil-covered metal panels, adhesion to various types of metal panels and compatibility with the process conditions on the paint line (resistance to washing and phosphating baths, curability during stoving of the CED primer, resistance to the following painting and drying operations). In addition, modern structural adhesives have to exhibit improving strength and deformation properties, even in the cured state. These include the high corrosion resistance and flexural strength of the structural components and the deformability of the bond under mechanical stress. High deformability of the structural components guarantees a considerable safety advantage in the event of a crash. This crash behavior can best be determined by determining the impact energy for cured bonds; sufficiently high values for impact energy or impact/peel energy are desirable both at high temperatures of up to +90°C and in particular at low temperatures down to -40°C. High tensile shear strength should also be achieved. Both strengths should be achieved on a large number of substrates, mainly oil-covered metal panels, for example steel bodywork panels, steel plate galvanized by various methods, panels of various aluminium alloys or even magnesium alloys and steel plates coated by coil coating with organic coatings of the "Bonazinc" or "Granocoat" type. As shown in the following Examples, the adhesive compositions according to the invention surprisingly satisfy these requirements to a very high degree.

On page 20, line 1 has been amended as follows:

[CLAIMS] We claim:

Impact-resistant Epoxide Resin Compositions

This invention relates to the use of mixtures of special diene copolymers and phenol-terminated polyurethanes or polyureas or polyimides in admixture with epoxy resins and/or adducts of epoxy resins with diene copolymers and/or the polyurethane or the polyurea as high-  
5 impact epoxy resin adhesives with particularly good low-temperature properties and to reactive, preferably one-component hotmelt adhesives with good low-temperature impact strength.

Reactive epoxy-based hotmelt adhesives are known. In machine and vehicle construction and especially in the construction of aircraft,  
10 railway vehicles and motor vehicles, components of various metals and/or composite materials are increasingly being joined together with the aid of adhesives. Epoxy adhesives are widely used for high-strength structural bonding, more particularly as heat-curing one-component adhesives which, in many cases, are also formulated as reactive hotmelts. Reactive  
15 hotmelts are adhesives which are solid at room temperature and which soften and behave like a thermoplastic material at temperatures of up to about 80 to 90°C. It is only at relatively high temperatures of about 100°C and higher that the latent hardeners present in these hotmelt adhesives are thermally activated so that irreversible curing to a thermoset occurs. To  
20 join the components together, for example in the vehicle industry, the adhesive is first applied warm to at least one substrate surface, after which the parts to be joined are then fitted together. The adhesive then solidifies on cooling and, through this physical solidification, establishes adequate handling resistance, i.e. a temporary bond. The parts thus joined together  
25 are further treated in various washing, phosphating and dip painting baths. It is only after this that the adhesive is cured at relatively high temperatures in an oven.

Conventional adhesives and hotmelt adhesives based on epoxy resins are hard and brittle in the cured state. Although the bonds obtained with them are generally characterized by very high tensile shear strength, the adhesives flake off under peel, impact or impact/peel stress, particularly at relatively low temperatures, so that loss of bond strength readily occurs when the adhesive joint is subjected to that kind of stress. Accordingly, numerous proposals have already been put forward with a view to so modifying epoxy resins by flexible additives that their brittleness is clearly reduced. One known process is based on the use of special rubber/epoxy resin adducts which are incorporated as heterodisperse phase in the epoxy resin matrix so that the epoxies become more impact-resistant. These epoxy resin compositions are also referred to as "toughened". Another known modification of epoxy resins of the above-mentioned type consists in the reaction of a carboxyl-terminated polybutadiene-co-acrylonitrile copolymer with an epoxy resin. This rubber/epoxy adduct is then dispersed in one or more different epoxy resins. The reaction of the epoxy resin with the carboxyl-containing butadiene/acrylonitrile rubber has to be conducted in such a way that the adduct is not prematurely cured. Although correspondingly modified epoxy resin compositions already represent a clear improvement over unmodified epoxy resins in relation to their impact strength, their behavior under peel or impact/peel stress is still not satisfactory.

EP-A-0 343 676 describes adhesive compositions made up of a mixture of several epoxy resins, a phenolic resin and a polyurethane/epoxy adduct. The polyurethane/epoxy adduct present therein consists of a reaction product of several polyalkylene glycol homopolymers and copolymers containing primary and secondary OH groups, a diisocyanate and at least one epoxy resin. According to the document in question, these hotmelt adhesive compositions show improved shear resistance, peel strength and impact strength in relation to various commercial one-

component hotmelt adhesive compositions. Unfortunately, there is no reference to the adhesive properties of the cured adhesive joint at low temperatures.

US-A-5 290 857 describes an epoxy resin adhesive composition  
5 containing an epoxy resin and a powder-form core/shell polymer and a heat-activatable hardener for the epoxy resin. The powder-form core/shell polymer is composed of a core containing an acrylate or methacrylate copolymer with a glass transition temperature of -30°C or lower and a shell containing an acrylate or methacrylate polymer which contains crosslinking  
10 monomer units and which has a glass transition temperature of 70°C or higher, the ratio by weight of the core to the shell being between 10:1 and 1:4. These compositions are said to have excellent adhesive properties, such as peel strength, tensile shear strength and T-peel strength, and also good partial gellability. No mention is made of the properties of bonds with  
15 these adhesives at low temperatures.

Similarly, US-A-5,686,509 describes an adhesion-strengthening composition for epoxy resins consisting of powder-form copolymer particles ionically crosslinked with a mono- or divalent metal cation. The core of the core/shell polymer is composed of a diene monomer and optionally  
20 crosslinking monomer units and has a glass transition temperature of -30°C or lower. The shell copolymer has a glass transition temperature of at least 70°C and is made up of acrylate or methacrylate monomer units and radically polymerizable unsaturated carboxylic acid units. The adhesive composition is said to contain 15 to 60 parts by weight of the adhesion-  
25 strengthening copolymer powder and 3 to 30 parts by weight of a heat-activatable hardening agent to 100 parts of epoxy resin. These compositions are recommended for use as structural adhesives for automobile parts. No mention is made of the low temperature properties of corresponding bonds.

30 EP-A-0 308 664 describes epoxy resin compositions which contain



an epoxide adduct of a carboxyl-containing copolymer based on butadiene/acrylonitrile or similar butadiene copolymers and a reaction product of an elastomeric isocyanate-terminated prepolymer soluble or dispersible in epoxy resins with a polyphenol or aminophenol and subsequent reaction of this adduct with an epoxy resin. In addition, these compositions may contain one or more epoxy resins. Furthermore, aminofunctional hardeners, polyaminoamides, polyphenols, polycarboxylic acids and their anhydrides or catalytic hardeners and optionally accelerators are proposed for hardening these compositions. The compositions in question are said to be suitable as adhesives which can have high strength, a high glass transition temperature, high peel strength, high impact strength or high tear propagation resistance according to their particular composition.

EP-A-0 308 664 does not indicate whether the compositions described therein are suitable for adhesives with good low-temperature impact strength.

Similarly, EP-A-0 353 190 describes epoxy resin compositions containing an adduct of an epoxy resin and a carboxylated butadiene/acrylonitrile copolymer and a reaction product of a hydroxyl-, mercapto- or amino-terminated polyalkylene glycol with a phenol carboxylic acid with subsequent reaction of the phenolic group with an epoxy resin. According to EP-A-0 353 190, these compositions are suitable for the production of adhesives, adhesive films, patches, sealing compounds, paints or matrix resins. There is no indication of whether the adhesives thus produced have good low-temperature impact strength.

According to the teaching of EP-A-0 354 498 or EP-A-0 591 307, reactive hotmelt adhesive compositions can be produced from a resin component, at least one heat-activatable latent hardener for the resin component and optionally accelerators, fillers, thixotropicizing agents and other typical additives, the resin component being obtainable by the

reaction of an epoxy resin solid at room temperature and an epoxy resin liquid at room temperature with one or more linear or branched amino-terminated polyoxypropylenes. The epoxy resins are said to be used in such a quantity, based on the amino-terminated polyoxypropylene, that an excess of epoxy groups, based on the amino groups, is guaranteed. These adhesive compositions have a high peel resistance in the T-peel test which they retain even at low temperatures.

The problem addressed by the present invention was further to improve reactive adhesives of the type mentioned at the beginning to the extent that they would have adequate flexibility and increased peel strength not only at room temperature but also - and in particular - at low temperatures (below 0°C). In particular, they would show high peel strength at low temperatures and under impact so that, even in the event of a crash, structurally bonded parts would meet modern safety standards in vehicle construction. These improvements would be obtained without any deterioration in peel strength at high temperatures or in tensile shear strength. In addition, the reactive adhesives would have to exhibit adequate wash-out resistance immediately after application and before final curing. To that end, the adhesive compositions would have to lend themselves as hotmelts to formulation as a highly viscous adhesive suitable for warm application. Another possibility would be to formulate the compositions as an adhesive that could be gelled by a thermal preliminary reaction in a so-called "white body oven" or by induction heating of the joined parts.

The solution provided by the invention to the problem as stated above is defined in the claims and consists essentially in the use of compositions which contain

- A) a copolymer having at least one glass transition temperature of -30°C or lower and epoxy-reactive groups,
- B) a reaction product of a polyurethane prepolymer and a polyphenol or

aminophenol and

C) at least one epoxy resin,

as structural adhesives with good low-temperature impact strength.

A structural adhesive in the context of the invention is an adhesive which has a tensile shear strength of 15 MPa at room temperature on steel and which still guarantees a tensile shear strength on steel of more than 10 MPa at an elevated temperature of 90°C. Such an adhesive has good low-temperature impact strength when the impact peel energy at 2 m/sec to ISO 11343 at -20°C is at least 5 J.

Components A), B) and C) may also be mixtures of compounds of the type mentioned. Components A) and B) are preferably reacted with a large stoichiometric excess of epoxy resins in separate reactions and then optionally mixed with other epoxy resins, heat-activatable hardeners and/or other additives.

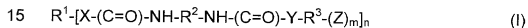
Examples of the copolymers of component A) are 1,3-diene polymers containing carboxyl groups and other polar ethylenically unsaturated comonomers. The diene may be butadiene, isoprene or chloroprene and is preferably butadiene. Examples of polar ethylenically unsaturated comonomers are acrylic acid, methacrylic acid, lower alkyl esters of acrylic or methacrylic acid, for example methyl or ethyl esters thereof, amides of acrylic or methacrylic acid, fumaric acid, itaconic acid, maleic acid or lower alkyl esters or semiesters thereof or maleic acid or itaconic anhydride, vinyl esters, such as for example vinyl acetate or - more particularly - acrylonitrile or methacrylonitrile. Most particularly preferred copolymers A) are carboxyl-terminated butadiene/acrylonitrile copolymers (CTBN) which are commercially available in liquid form under the name of Hycar from B.F. Goodrich. These copolymers have molecular weights of 2,000 to 5,000 and acrylonitrile contents of 10% to 30%. Actual examples are Hycar CTBN 1300 X 8, 1300 X 13 or 1300 X 15.

The core/shell polymers known from US-A-5,290,857 and from US-

- A-5,686,509 may also be used as component A). The core monomers should have a glass transition temperature of or below  $-30^{\circ}\text{C}$  and may be selected from the group of diene monomers as mentioned above or suitable acrylate or methacrylate monomers. The core polymer may
- 5 optionally contain crosslinking monomer units in small quantities. The shell is made up of copolymers which have a glass transition temperature of at least  $60^{\circ}\text{C}$ . The shell is preferably made up of lower alkyl acrylate or methacrylate monomer units (methyl or ethyl esters) and polar monomers, such as (meth)acrylonitrile, (meth)acrylamide, styrene or radical-
- 10 polymerizable unsaturated carboxylic acids or carboxylic anhydrides.

However, the adducts of epoxy resins and the liquid CTBN rubbers mentioned above are particularly preferred for component A).

Component B) may be represented by the following formula I:



in which

$m = 1$  or  $2$ ,

$n = 2$  or  $3$ ,

- 20  $\text{R}^1$  is a residue of a polyalkylene glycol after removal of the functional groups (hydroxyl or amino groups),

$\text{R}^2 = \text{C}_{6-14}$  alkyl, aryl, aralkyl (residue of a diisocyanate after removal of the isocyanate groups),

$\text{X, Y} = -\text{O}-, -\text{S}-$  or  $-\text{NR}^4-$ , where  $\text{R}^4 = \text{H}$  or  $\text{C}_{1-4}$  alkyl or phenyl,

- 25  $\text{R}^3$  is a carbocyclic-aromatic or araliphatic  $m+1$ -functional residue with groups  $\text{Z}$  directly attached to the aromatic ring and  $\text{Z} = -\text{OH}$  or  $-\text{NHR}^4$  (residue of a polyphenol or aminophenol after removal of the functional groups).

- Component B) is a reaction product of a di- or polyamine or di- or
- 30 polyol and a diisocyanate. The stoichiometric ratio between amino groups

or hydroxyl groups and isocyanate groups is selected so that the isocyanate groups are present in a stoichiometric excess, preferably of 1.5 to 2, over the amino groups or hydroxyl groups. The isocyanate-terminated polyurethane prepolymer thus formed is then reacted with an excess of polyphenol or aminophenol so that the reaction product bears terminal phenolic or amino groups. Polyester polyols may also be mixed into this reaction mixture. The reaction mixture thus formed is generally reacted directly with the other constituents of the composition, such as component A) and other epoxy resins, although it may also be reacted with a large stoichiometric excess of epoxy resins so that an epoxy-terminated addition product is formed.

In principle, a large number of polyurethane prepolymers may be used for the addition of the poly- or aminophenols although hydroxy-terminated or amino-terminated polyalkylene glycols, more particularly di- or trifunctional hydroxy-terminated or amino-terminated polypropylene glycols, polyethylene glycols or copolymers of propylene glycol and ethylene glycol, and in particular polytetramethylene glycols (poly-THF) are preferably used. Other suitable synthesis components for the polyurethane prepolymers are amino-terminated or hydroxy-terminated polybutadienes. The hydroxy- or amino-terminated polyalkylene glycols and the corresponding polybutadiene derivatives have molecular weights of 400 to 5,000.

In principle, suitable di- or polyisocyanates for the production of the polyurethane prepolymers are any aromatic, aliphatic or cycloaliphatic polyisocyanates known in polyurethane chemistry.

Examples of suitable aromatic polyisocyanates are any isomers of toluene diisocyanate (TDI) either in pure isomer form or in the form of a mixture of several isomers, naphthalene-1,5-diisocyanate, diphenylmethane-4,4'-diisocyanate (MDI), diphenylmethane-2,4'-diisocyanate and mixtures of 4,4'-diphenylmethane diisocyanate with the 2,4' isomer or

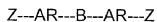
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mixtures thereof with oligomers of relatively high functionality (so-called crude MDI). Examples of suitable cycloaliphatic polyisocyanates are the hydrogenation products of the above-mentioned aromatic diisocyanates such as, for example, 4,4'-dicyclohexylmethane diisocyanate ( $H_{12}MDI$ ), 1-isocyanatomethyl-3-isocyanato-1,5,5-trimethyl cyclohexane (isophorone diisocyanate, IPDI), cyclohexane-1,4-diisocyanate, hydrogenated xylene diisocyanate ( $H_6XDI$ ), m- or p-tetramethyl xylene diisocyanate (m-TMXDI, p-TMXDI) and dimer fatty acid diisocyanate. Examples of aliphatic polyisocyanates are hexane-1,6-diisocyanate (HDI), 1,6-diisocyanato-2,2,4-trimethyl hexane, 1,6-diisocyanato-2,4,4-trimethyl hexane, butane-1,4-diisocyanate and 1,12-dodecane diisocyanate ( $C_{12}DI$ ). The aliphatic, cycloaliphatic or even araliphatic diisocyanates are particularly preferred.

The polyphenols or aminophenols to be used for reaction product B) are either aromatic di- or trihydroxy compounds derived from a mono- or polynuclear carbocyclic-aromatic radical or the corresponding aminohydroxy compounds. The aromatic rings may either be condensed or attached to one another by binding links or by a covalent bond.

Examples of the compounds mentioned first are hydroquinone, resorcinol, pyrocatechol, isomers of dihydroxynaphthalene (pure isomers or a mixture of several isomers), isomers of dihydroxyanthracene and the corresponding aminohydroxy compounds. The polyphenols or aminophenols, which are derived from carbocyclic-aromatic compounds of which the aromatic nuclei are attached by binding links, may be represented by the following general formula II:

25



(II)

in which Z is as defined above,  
AR is a mononuclear aromatic radical which may optionally be further substituted by alkyl or alkenyl radicals,

30

B stands for the binding link which may be selected from the group consisting of a covalent bond,  $-\text{CR}^5\text{R}^6-$ ,  $-\text{O}-$ ,  $-\text{S}-$ ,  $-\text{SO}_2-$ ,  $-\text{CO}-$ ,  $-\text{COO}-$ ,  $-\text{CONR}^7-$  and  $\text{SiR}^8\text{R}^9-$  where  $\text{R}^5$ ,  $\text{R}^6$  and  $\text{R}^7$  independently of one another represent hydrogen,  $-\text{CF}_3$  or  $\text{C}_{1-6}$  alkyl or  $\text{R}^5$  and  $\text{R}^6$  together with the common C atom form a cycloaliphatic radical with 5 to 7 ring C atoms,  $\text{R}^8$  and  $\text{R}^9$  represent  $\text{C}_{1-6}$  alkyl. The two groups B and Z in formula II independently of one another may be located in the ortho, meta or para position. Particularly preferred compounds corresponding to formula II are 4,4'-dihydroxydiphenyl or the bisphenols A and/or F.

- 10 The polyester polyols optionally present in component B) are the polyester polyols known per se which are used in polyurethane chemistry, for example for the production of hotmelt adhesives.

Examples of such polyester polyols are reaction products of dicarboxylic acids, such as glutaric acid, adipic acid, sebacic acid, suberic acid, 3,3-dimethylglutaric acid, terephthalic acid, isophthalic acid, dimer fatty acid, with low molecular weight dihydric alcohols such as, for example, ethylene glycol, propylene glycol, butane-1,4-diol, diethylene glycol, triethylene glycol or dimer fatty alcohol. Suitable polyester polyols may optionally be lightly branched, i.e. small quantities of a tricarboxylic acid or trihydric alcohol were used for their production.

- 20 Suitable epoxy resins for component C) or for forming the epoxy adduct or for mixing components A) and B) are any of a number of polyepoxides which contain at least two 1,2-epoxy groups per molecule. The epoxy equivalent of these polyepoxides may be between 150 and 4,000. Basically, the polyepoxides may be saturated, unsaturated, cyclic or acyclic, aliphatic, alicyclic, aromatic or heterocyclic polyepoxide compounds. Examples of suitable polyepoxides include the polyglycidyl ethers which are obtained by reaction of epichlorohydrin or epibromohydrin with a polyphenol in the presence of alkali. Polyphenols suitable for this purpose are, for example, resorcinol, pyrocatechol, hydroquinone,
- 25
- 30

bisphenol A (bis-(4-hydroxyphenyl)-2,2-propane)), bisphenol F (bis(4-hydroxyphenyl)methane), bis-(4-hydroxyphenyl)1,1-isobutane, 4,4'-dihydroxybenzophenone, bis-(4-hydroxyphenyl)-1,1-ethane, 1,5-hydroxynaphthalene.

- 5 Other polyepoxides suitable in principle are the polyglycidyl ethers of polyalcohols or diamines. These polyglycidyl ethers are derived from polyalcohols, such as ethylene glycol, diethylene glycol, triethylene glycol, 1,2-propylene glycol, 1,4-butylene glycol, triethylene glycol, pentane-1,5-diol, hexane-1,6-diol or trimethylol propane.
- 10 Other polyepoxides are polyglycidyl esters of polycarboxylic acids, for example reaction products of glycidol or epichlorohydrin with aliphatic or aromatic polycarboxylic acids, such as oxalic acid, succinic acid, glutaric acid, terephthalic acid or dimer fatty acid.
- 15 Other epoxides are derived from the epoxidation products of olefinically unsaturated cycloaliphatic compounds or from native oils and fats.
- 20 The epoxy resins obtained by reaction of bisphenol A or bisphenol F and epichlorohydrin are most particularly preferred. Mixtures of liquid and solid epoxy resins are generally used, the liquid epoxy resins preferably being based on bisphenol A and having a sufficiently low molecular weight. Epoxy resins liquid at room temperature which generally have an epoxy equivalent weight of 150 to about 220 and more particularly in the range from 182 to 192 are particularly preferred for the adduct formation of components A) and B).
- 25 The hardness of the reactive adhesive in the cooled state, i.e. in particular after application to the substrate to be joined, but before curing, depends on the degree of condensation and hence molecular weight of component B) in particular and on the ratio of solid epoxy resin to liquid epoxy resin. The higher the degree of condensation (and hence the
- 30 molecular weight) of condensation product B) and the greater the



proportion of solid epoxy resin in the composition, the harder the cooled semicrystalline adhesive will be.

- Suitable heat-activatable or latent hardeners for the epoxy resin binder system of components A), B) and C) are guanidines, substituted  
5 guanidines, substituted ureas, melamine resins, guanamine derivatives, cyclic tertiary amines, aromatic amines and/or mixtures thereof. The hardeners may be stoichiometrically included in the curing reaction although they may also be catalytically active. Examples of substituted  
10 guanidines are methyl guanidine, dimethyl guanidine, trimethyl guanidine, tetramethyl guanidine, methyl isobiguanidine, dimethyl isobiguanidine, tetramethyl isobiguanidine, hexamethyl isobiguanidine, heptamethyl isobiguanidine and, most particularly, cyanoguanidine (dicyanodiamide). Alkylated benzoguanamine resins, benzoguanamine resins or methoxymethyl ethoxymethyl benzoguanamine are mentioned as  
15 representatives of suitable guanamine derivatives. The selection criterion for the one-component heat-curing hotmelt adhesives is of course their low solubility at room temperature in the resin system so that solid finely ground hardeners are preferred, dicyanodiamide being particularly suitable. The composition is thus guaranteed a long shelf life.
- 20 Catalytically active substituted ureas may be used in addition to or instead of the hardeners mentioned above. These substituted ureas are, in particular, p-chlorophenyl-N,N-dimethyl urea (Monuron), 3-phenyl-1,1-dimethyl urea (Fenuron) or 3,4-dichlorophenyl-N,N-dimethyl urea (Diuron). In principle, catalytically active tertiary aryl or alkyl amines, for example  
25 benzyl dimethyl amine, tris(dimethylamino)phenol, piperidine or piperidine derivatives, may also be used, but often have too high a solubility in the adhesive system so that the one-component system is not guaranteed useful shelf life in their case. In addition, various, preferably solid imidazole derivatives may be used as catalytically active accelerators. 2-Ethyl-2-  
30 methyl imidazole, N-butyl imidazole, benzimidazole and N-C<sub>1-12</sub>-alkyl

imidazoles or N-arylimidazoles are mentioned as representatives of such accelerators.

In addition, the adhesives according to the invention contain fillers known per se such as, for example, the various ground or precipitated  
5 chalks, carbon black, calcium-magnesium carbonates, heavy spar and, in particular, silicate fillers of the aluminium-magnesium-calcium silicate type, for example wollastonite, chlorite.

The adhesive compositions according to the invention may also contain other typical auxiliaries and additives such as, for example,  
10 plasticizers, reactive diluents, rheology aids, wetting agents, antiagers, stabilizers and/or pigments.

The adhesives according to the invention may be formulated on the one hand as one-component adhesives which in turn may be formulated both as highly viscous adhesives designed for warm application and as  
15 heat-activatable hotmelt adhesives. These adhesives may also be formulated as one-component pregellable adhesives, in which case the compositions contain either fine-particle thermoplastic powders such as, for example, polymethacrylates, polyvinyl butyral or other thermoplastic (co)polymers or the curing system is so adapted that a two-stage curing  
20 process occurs, the gelling step effecting only partial curing of the adhesive and final curing in vehicle construction taking place, for example, in one of the paint ovens, preferably the cathodic electrodeposition oven.

The adhesive compositions according to the invention may also be formulated as two-component epoxy adhesives where the two reaction  
25 components are only mixed just before application, curing then taking place at room temperature or moderately elevated temperature. The second reaction component may be selected from the reaction components known per se for two-component epoxy adhesives, for example di- or polyamines, amino-terminated polyalkylene glycols (for example Jeffamine, Amino-Poly-  
30 THF) or polyaminoamides. Other reactants may be mercaptofunctional

prepolymers such as, for example, the liquid Thiokol polymers. Basically, the epoxy compositions according to the invention may also be cured with carboxylic anhydrides as the second reaction component in two-component adhesive formulations.

- 5 Besides the applications mentioned at the beginning, the adhesive compositions according to the invention may also be used as potting compounds in the electrical or electronics industries and as die-attach adhesives in electronics for bonding components to circuit boards. Other possible applications for the compositions according to the invention are as
- 10 matrix materials for composite materials such as, for example, fiber-reinforced composites.

However, a most particularly preferred application for the adhesives according to the invention is structural bonding in vehicle construction.

- The quantity ratios between the individual components may vary
- 15 within relatively wide limits, depending on the requirements the adhesive is expected to satisfy in regard to its application properties, flexibility, impact peel strength or tensile strength. Typical ranges for the key components are:

- component A) 5-25% by weight, preferably 6-20% by weight
- 20 • component B): 5-30% by weight, preferably 5-20% by weight
- component C): 10-60% by weight, preferably 15-50% by weight; this component may be composed of one or more liquid and/or solid epoxy resins in which case it may optionally contain low molecular weight epoxides as reactive diluents
- 25 • fillers: 10-40% by weight
- hardener component (for heat-curable one-component systems): 1-10% by weight, preferably 3-8% by weight
- accelerator: 0.01-3% by weight, preferably 0.1 to 0.8% by weight
- rheology aid (thixotropicizing agent): 0.5-5% by weight.

- 30 As mentioned at the beginning, the requirements modern structural

adhesives are expected to meet in vehicle construction continue to increase because more and more structural elements - including those with load-bearing functions - are being joined by bonding processes. As already stated in the article by G. Lötting and S. Singh entitled:

5 "Anforderungen an Klebstoffe für Strukturverbindungen im Karosseriebau"

Adhesion 1988, No. 9, pages 19 to 26, the adhesives are expected on the one hand to fulfill production aspects of practical relevance, including automatable application in short cycle times, adhesion to oil-covered metal panels, adhesion to various types of metal panels and compatibility with the

10 process conditions on the paint line (resistance to washing and phosphating baths, curability during stoving of the CED primer, resistance to the following painting and drying operations). In addition, modern structural adhesives have to exhibit improving strength and deformation properties, even in the cured state. These include the high corrosion

15 resistance and flexural strength of the structural components and the deformability of the bond under mechanical stress. High deformability of the structural components guarantees a considerable safety advantage in the event of a crash. This crash behavior can best be determined by determining the impact energy for cured bonds; sufficiently high values for

20 impact energy or impact/peel energy are desirable both at high temperatures of up to +90°C and in particular at low temperatures down to -40°C. High tensile shear strength should also be achieved. Both strengths should be achieved on a large number of substrates, mainly oil-

25 covered metal panels, for example steel bodywork panels, steel plate galvanized by various methods, panels of various aluminium alloys or even magnesium alloys and steel plates coated by coil coating with organic coatings of the "Bonazinc" or "Granocoat" type. As shown in the following Examples, the adhesive compositions according to the invention surprisingly satisfy these requirements to a very high degree.

30 The following Examples are intended to illustrate the invention. All

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quantities in connection with the compositions are parts by weight, unless otherwise indicated.

General procedure for producing component A)

- 5        A carboxy-terminated poly(butadiene-co-acrylonitrile) (Hycar CTBN 1300 X 13) was reacted for 3 hours with stirring under nitrogen at 140°C with an approximately 10-molar excess of a liquid DGEBA epoxy resin until the reaction was constant.

10    General procedure for preparing reaction product B)

- About 1.85 equivalents of the diisocyanate were introduced under nitrogen at 120°C into a stirred, heatable tank reactor, after which one equivalent of the polyol was added dropwise at 120°C and the reaction was continued for 3 hours at 120°C. The isocyanate-terminated polyurethane
- 15    prepolymer formed was then reacted with a stoichiometric excess of polyphenol, the polyphenol being quickly added to the reaction mixture. The reaction was continued for another hour at 120°C, after which a liquid polyester polyol was added to the reaction mixture. The mixture obtained was used for the production of the adhesive.

20

General production of the adhesive

- In a kneader, components A), B) and a liquid epoxy resin and a solid epoxy resin were mixed to homogeneity at room temperature or optionally at 80°C in the presence of the fillers, hardeners, accelerators and rheology
- 25    aids and the resulting mixture was poured into the storage containers optionally while still warm.

**Example 1**

- Component B) was prepared from 66.3 parts by weight of Poly-THF-
- 30    2000 (a product of BASF), 10.3 parts by weight of hexamethylene

diisocyanate, 8.4 parts by weight of resorcinol and 15.0 parts by weight of Dynacol 7250 (a product of Hüls) by the general procedure for preparing reaction product B).

Component A) was prepared from Hycar CTBN 1300 X13 and a liquid DGBEA resin by the method described above. The resulting composition contained 40% butyl rubber and had an epoxy equivalent weight of 900 and a viscosity at 80°C of 200 Pa.s.

### Examples 2-3

Adhesive compositions according to the invention were prepared from components B) of Example 1, component A) and a liquid DGEBA resin (epoxy equivalent weight 189), fillers, dicyanodiamide as hardener and accelerators and hydrophobic silica as thixotropicizing agent and optionally the thermoplastic polymer powder. The compositions are set out in Table 1.

Table 2. Adhesives according to the invention

Example	2	3
Component B) of Example 1	17.5	17.5
Component A)	6.5	6.5
DGEBA resin, liquid	49.6	49.5
Wollastonite	17.5	14.5
Dicyanodiamide	5.5	5.5
Fenuron	0.3	
Imidazole/DGEBA adduct		0.5
Polyvinyl butyral		3.0
Silica, hydrophobic	3.0	3.0

Wollastonite filler

Silica: Carbosil TS 720 (Cabot)

The adhesive properties of the Examples according to the invention and the adhesive properties of known adhesives are compared in Table 2. The adhesive of Comparison Example 1 was Betamate 1044/3 of Gurit Essex. It is assumed that this adhesive had been produced in accordance with the teaching of EP-A-0 308 664.

Table 2. Adhesive properties

Example	2	3	Comparison 1
Impact -40°C [J]	13.1	9.6	3.3
Impact -20°C [J]	16.5	11.5	2.6
Impact 0°C [J]	19.6	13.7	4.4
Impact RT [J]	21.8	14.4	5.2
Impact 50°C [J]	22.7	16.9	5.7
Impact 90°C	21.5	18.2	7.0
TSS -40°C [Mpa]	40.8	40.4	18.9
TSS RT [Mpa]	29.5	29.4	16.6
TSS +90°C [Mpa]	18.3	16.5	13.2
500 h SST	27.8	24.4	15.2
1000 h SST	26.9	23.4	13.3

Impact: impact peel test to ISO 11343 at 2 m/sec

RT: room temperature

TSS: tensile shear strength to DIN 53283 on 1.5 mm thick 1403 steel

SST: salt spray test to DIN 50021

cohesive fracture pattern 100% unless otherwise indicated

As these test results show, the impact peel energy to ISO 11343 of the adhesives according to the invention is several times higher than that of the known adhesives. At very low temperatures in particular, the impact

peel energy of the adhesives according to the invention is clearly better than that of the known adhesives without any deterioration in tensile shear strength or ageing behavior in the salt spray test.

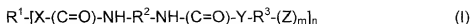
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## CLAIMS

1. The use of compositions containing
- A) a copolymer having at least one glass transition temperature of  $-30^{\circ}\text{C}$  or lower and epoxy-reactive groups or a reaction product of this  
5 copolymer with a polyepoxide and
- B) a reaction product of a polyurethane prepolymer and a polyphenol or aminophenol and
- C) at least one epoxy resin,  
as structural adhesives with good low-temperature impact strength.
- 10 2. The use of the compositions claimed in claim 1, characterized in that component A) is a butadiene-based copolymer.
3. The use of the compositions claimed in claim 2, characterized in that the copolymer of component A) is a carboxyl-containing copolymer based on butadiene/acrylonitrile, butadiene/(meth)acrylates, a butadiene/acrylo-  
15 nitrile/styrene copolymer or a butadiene/(meth)acrylate/styrene copolymer.
4. The use of the composition claimed in claim 1, characterized in that the copolymer of component A) is a core/shell polymer of which the core polymer is a diene polymer or a (meth)acrylate polymer with a glass transition temperature of  $-30^{\circ}\text{C}$  or lower and which may optionally be  
20 crosslinked with 0.01 to 5% by weight of a diolefinic comonomer and of which the shell polymer has a glass transition temperature of  $60^{\circ}\text{C}$  or higher and is obtained from monomers from the group consisting of alkyl (meth)acrylate, (meth)acrylonitrile, (methyl) styrene and olefinically unsaturated carboxylic acids or carboxylic anhydrides or mixtures thereof.
- 25 5. The use of the compositions claimed in at least one of the preceding claims, characterized in that an adduct of an epoxy resin and a copolymer according to claims 2 to 4 is used as component A).
6. The use of the compositions claimed in at least one of the preceding claims, characterized in that component B) is a compound corresponding to  
30 formula I:

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in which

5    m = 1 or 2,

     n = 2 or 3,

     R<sup>1</sup> is a residue of a polyalkylene glycol after removal of the functional groups (hydroxyl or amino groups),

     R<sup>2</sup> = C<sub>6-14</sub> alkyl, aryl, aralkyl (residue of a diisocyanate after removal of the isocyanate groups),

10    X, Y = -O-, -S- or -NR<sup>4</sup>-, where R<sup>4</sup> = H or C<sub>1-4</sub> alkyl or phenyl,

     R<sup>3</sup> is a carbocyclic-aromatic or araliphatic m+1-functional residue with groups Z directly attached to the aromatic ring and Z = -OH or -NHR<sup>4</sup> (residue of a polyphenol or aminophenol after removal of the functional groups after removal of the isocyanate groups).

15    7. The use of the composition claimed in claims 1 to 6, characterized in that component B) according to claim 6 is dissolved in a liquid polyepoxide.

     8. The use of the composition claimed in claims 1 to 5, characterized in that component B) according to claim 6 is reacted with a stoichiometric excess of a polyepoxide.

20    9. The use of the composition claimed in at least one of the preceding claims, characterized in that, in addition to components A), B) and C), it contains

     D) a latent hardener from the group consisting of dicyanodiamide, guanamines, guanidines, aminoguanidines, solid aromatic diamines and/or a hardening accelerator and

25    E) optionally plasticizers, reactive diluents, rheology aids, fillers, wetting agents and/or antiagers and/or stabilizers.

     10. The use of the compositions claimed in at least one of the preceding claims as a high-strength high-impact structural adhesive with an impact

30

peel energy of at least 5 J at -20°C (to ISO 11343) in vehicle construction, aircraft construction or rail vehicle construction.

11. The use of the compositions claimed in claim 10 for the production of composite materials, as potting compounds in the electrical and electronics industries and as a die-attach adhesive in the production of circuit boards in the electronics industry.

12. A composition for use as an adhesive, characterized in that, in addition to components A), B) and C) according to any of the preceding claims, it contains

10 D) a latent hardener from the group consisting of dicyanodiamide, guanamines, guanidines, aminoguanidines, solid aromatic diamines and/or a hardening accelerator

E) optionally plasticizers, reactive diluents, rheology aids, fillers, wetting agents and/or antiaggers and/or stabilizers

15 F) a polyester polyol with a molecular weight of 400 to 5,000 and

G) optionally a thermoplastic polymer powder.

13. A process for hardening components A), B), C), D), E), optionally F) and optionally G) according to claim 12 by heating the composition to temperatures of 80°C to 210°C and preferably to temperatures of 120°C to

20 180°C.

14. A process for bonding metallic and/or composite materials comprising the following key process steps:

25      • applying the adhesive composition claimed in claim 12 to at least one of the substrate surfaces to be joined, optionally after cleaning and/or surface treatment

- fitting together the parts to be joined

- optionally pregelling the adhesive composition and

- curing the bond by heating the parts to temperatures of 80°C to 210°C and preferably to temperatures of 120°C to 180°C.

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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

Attorney Docket Number H 3853 PCT/US

First Name Inventor Schenkel, Hubert

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SHOCK-RESISTANT EPOXIDE RESIN COMPOSITIONS**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 12/10/1999 as United States Application Number or PCT International

Application Number PCT/EP99/09732 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(s) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
198 58 921.2	Germany	12/19/1998	<input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
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## DECLARATION

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I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §3656 of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP99/09732	12/10/1999	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

☐ Firm Name  Customer Number  or label

OR

☒ List Attorney(s) and/or agent(s) name and registration number below:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name	Hubert	Middle Initial	
Family Name	Schenkel	Suffix e.g. Jr.	
Inventor's Signature	<i>Hubert Schenkel</i>		Date <i>6/12/01</i>
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Zip		Country	Germany
Applicant Authority			

☐ Additional inventors are being named on supplemental sheet(s) attached hereto